Electronic Acknowledgement Receipt					
EFS ID:	1632013				
Application Number:	10047607				
International Application Number:					
Confirmation Number:	5741				
Title of Invention:	Systems and methods for electronic trading that permit principal/broker trading				
First Named Inventor/Applicant Name:	Andrew C. Gilbert				
Customer Number:	1473				
Filer:	David E. Boundy				
Filer Authorized By:					
Attorney Docket Number:	CF/034				
Receipt Date:	28-MAR-2007				
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Application Type:	Utility				
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Change of Address	01-1034_070326_Address.p df	17649	no	1
Warnings:					

Information:					
2	Amendment - After Non-Final Rejection	01-1034_070326_Reply_to_ OA_of_12-29-2006.pdf	26486	no	2
Warnings:				•	
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		Total Files Size (in bytes): 44135			

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filling date of the application.